NRL PERSONNEL MANAGEMENT DEMONSTRATION PROJECT

Presentation to

NRAC Panel

Science and Technology Community in Crisis

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Betty A. Duffield
Director, Strategic Workforce Planning
Naval Research Laboratory





Topics

- Background
- •What did NRL achieve?
- How does the Contribution-based Compensation System (CCS) work?
- What is the feedback?



Background

- •Effective: 26 September 1999
- •Employees Covered: Approximately 2622
- •Occupations: 1598 Scientists & Engineers; 214 Technicians; 391 Administrative Professionals; 419 Administrative Support
- •Various Sites: Washington, D.C.; Stennis Space Center, Mississippi; Monterey, California; other smaller sites across the U.S.
- •Excluded: SES; ST; Trades & Crafts; Guards



NRL Personnel Management Demonstration Project





Staffing

Traditional	Demo
 Delegated examining for some positions 	 Delegated examining for all positions
Rating and ranking all jobs	 Rate and rank only if more than 15 mix of preference & nonpreference
Rule of 31-year probationary period	 Rule of 3 eliminated 3-year probationary period except for clerical



Staffing (Number 2)

Traditional	Demo
 Non-competitive temporary promotions and details to higher grades up to 120 days 	• Non-competitive temporary promotions and details to higher grades up to 1 year in a 24-month period
 120-day renewal requirement for temporary promotions and details Non-citizen hires approved by OPM 	 120-day renewal requirement eliminated NRL delegated non-citizen hiring authority



Staffing

(Number 3)

S&E Name Request Process

Davs

Division Submits Recruit Action

Security--Class. Mgmt.
Control and
Personnel Security

RPA (SF-52), RD, Security
Papers, Resume,
Transcript, Salary
Information, etc.

HRO

Log in/ RIF Review 3

Finalize RD; Vacancy

Tasks

Announcement Prepared; 3 Work/Select Salary

Vacancy Ann. Posted; Query
PPP; Submit Applicant Cover
Sheet; Arrange Drug Testing,
Physical, Relocation Travel

Personnel Security Works with applicant



Staffing

(Number 4)

S&E Name Request Process

Receipt of **Applications** 5 days **HRO Prepares** Certificate(s) & Forwards-1 day **Division Reviews** Certificate(s)/Selects/ Routes for Approval 8 days HRO - Firm Offer and arrange reporting date,

Salary Negotiations, First Post of Duty, PCS, etc.-4 days

29 Calendar Days Total



Classification

Traditional	Demo
• 70+ Classification standards	• 4 Standards (serve as critical elements)
• 15 General Schedule grades with 10 steps each	• 4 Career tracks with 3 to 5 career levels
 Up to 15-page position descriptions 	• 2- or 3-page requirements document (RD)
 Manual classification process 	Fully automated classification system
• Senior Executive & Science and Professional positions (above 15)	 Plus Advanced Research Scientist and Engineer positions



Career Tracks/Levels, Scores and Traditional GS Grades

Grade Level	1 2	3	4	5	6	7	8	9	10	11	12	13	14	15	ARSA	E
	Scientific and Engineering Professional															
		Ι		II					Ш		I	V	V			
	Scientific and Engineering Technical															
		Ι			II III]	V	$ \mathbf{V} $	\mathbf{V}				
	Administrative Specialist and Professional															
		Ι					П			I	II	IV		V		
	Administrative Support															
		Ι			П			II	I							
CCS Score Range	1		2	1		3	34	39		47	5	9	66		80	89



CCS ELEMENT CHART SCIENCE & ENGINEERING PROFESSIONAL Factor 1—S&E Problem Solving

LEVEL	POINT RANGE	SCOPE & OVERSIGHT	S&T COMPLEXITY & CREATIVITY	S&T COMMUNI- CATIONS & REPORTING	IMPACT & RECOGNITION	
I	0-21	^				
II	18-47	Level	Level Level		Level	
III	44-66	Descriptors	Descriptors	Descriptors	Descriptors	
IV	66-80					
V	81-89	•	•	•	•	



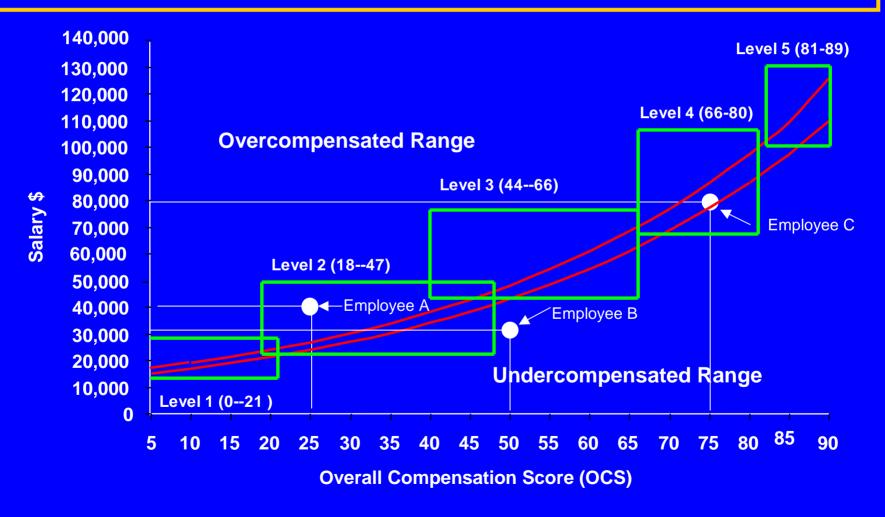
Compensation

Traditional	Demo
General Schedule Pay System	 Integrated Pay Schedule
• General Increase "Entitlement"	 General Increase "At Risk"
• WGIs, QSIs, and career ladder promotions	 Annual Merit Increase
• Awards authority up to \$5,000	• Awards authority up to \$25,000
Multiple pay actions during the year	• Single annual pay actionup to 7 actions on one SF-50
• Retention, recruitment, & relocation allowances	• Plus Distinguished Contributions Allowanceup to 25% of basic pay



Plotting OCS and Basic Pay on the Normal Pay Range (NPR)

S&E Professional Career Track





Compensation Adjustment Categories

BASIC PAY RANGE	GENERAL INCREASE	MERIT INCREASE	CONTRI- BUTION AWARD	LOCALITY PAY
Over – compensated	May be reduced or denied	No	No—unless on maintained pay	Yes—full
Normal Pay Range	Yes—full	Yes—up to 6% not to exceed upper rail for current score or max career level rate	Yes—over \$10K requires DOR approval. Max = \$25K	Yes—full
Under- Compensated	Yes—full	Yes—not to exceed 6% of lower rail or max career level rate. DOR approval over 20%	Yes—over \$10K requires DOR approval. Max = \$25K	Yes—full



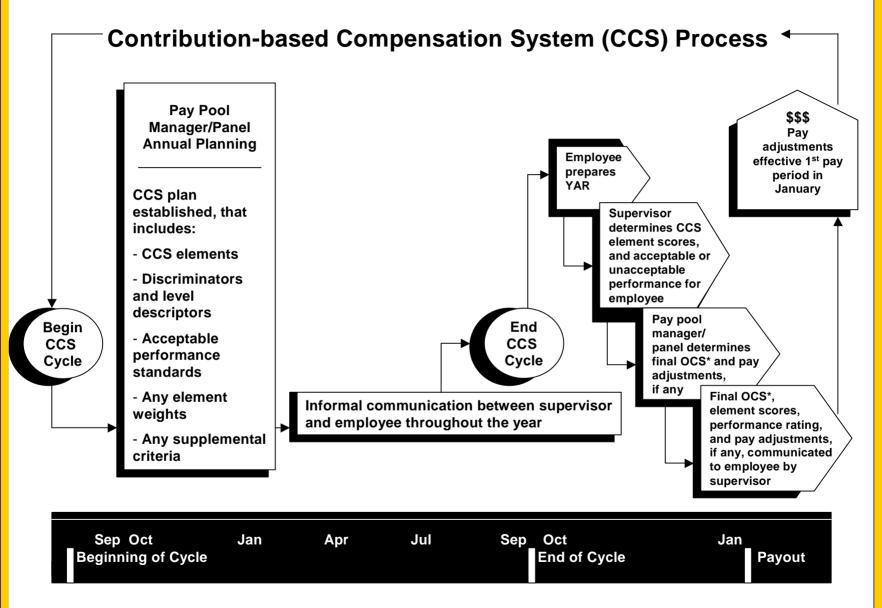
Assessment

Traditional	Demo
• 5-Level performance appraisal	 Contribution-based Compensation System
Individualized critical elements	• Generic critical elements (also serve as classification standards)
 Manual and/or word processing system 	• Fully automated, web-based application



NRL Personnel Management Demonstration Project

How does the Contribution-based Compensation System Work?





NRL Personnel Management Demonstration Project





Feedback

- Recruitment Timeliness is Poor
- Caps Hamper Pay Competitiveness
- Communication Styles Vary
- System is Hard to Explain
- Continue Training and Information Resources
- Further Enhance Automated Systems



Feedback

- Quality and Number of ApplicantsThought to be the Same
- More Competitive Job Offers
- •Managers Spending More Time on Performance Management
- **System Emphasizes Quality Rather than Seniority**



Feedback

- Better Identification of Weak Performers
- Salary Imbalances Being Corrected
- •Feels Like There is More Money Available to Reward High Performers
- •Flexibility, Flexibility, and Flexibility



Summary

"It offers new flexibilities and on balance we like it."

Pay Pool Managers' Evaluation Meeting 4 June 2001 Code 5000 Review